

Docket No.: M1103.70715US00
(PATENT)

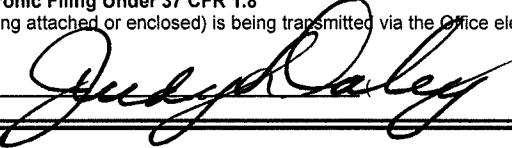
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eric J. Horvitz et al.
Serial No.: 10/021,621
Confirmation No.: 5221
Filed: December 12, 2001
For: CONTROLS AND DISPLAYS FOR ACQUIRING PREFERENCES,
INSPECTING BEHAVIOR, AND GUIDING THE LEARNING AND
DECISION POLICIES OF AN ADAPTIVE COMMUNICATIONS
PRIORITYZATION AND ROUTING SYSTEM
Examiner: P. A. Shaw
Art Unit: 2444

Certificate of Electronic Filing Under 37 CFR 1.8

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with § 1.6(a)(4).

Dated: May 31, 2010

Signature: 

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INFORMATION DISCLOSURE STATEMENT (IDS)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of a first Office Action, but before the mailing date of a

Final Action under 37 C.F.R. §1.113, a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application, after the filing of a Request for Continued Examination. The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant would like to bring to the Examiner's attention the following co-pending applications:

| <u>Serial No.</u> | <u>Filing Date</u> | <u>Inventor(s)</u> |
|-------------------|--------------------|--------------------|
| 09/881,502 | 06-14-2001 | Horvitz |
| 09/882,857 | 06-15-2001 | Van Dantzich et |
| 10/220,550 | 08-30-2002 | Horvitz |
| 10/610,487 | 06-30-2003 | Horvitz et al. |
| 10/882,068 | 06-30-2004 | Nodelman et al. |
| 11/171,868 | 06-29-2005 | Horvitz et al. |
| 11/172,476 | 06-30-2005 | Horvitz et al. |
| 11/299,512 | 12-12-2005 | Horvitz et al. |
| 11/428,225 | 06-30-2006 | Horvitz |
| 11/469,058 | 08-31-2006 | Horvitz et al. |
| 11/548,569 | 10-11-2006 | Abbott et al. |
| 11/749,508 | 05-16-2007 | Horvitz et al. |
| 11/770,772 | 06-29-2007 | Horvitz et al. |
| 12/258,749 | 10-27-2008 | Horvitz |

| Serial No. | Filing Date | Inventor(s) |
|------------|-------------|-------------|
| 12/418,226 | 04-03-2009 | Horvitz |

The co-pending applications identified above include subject matter which may be technically related to subject matter of the present application and may contain claims that recite elements similar to those recited in claims of the present application. In handling prosecution of the above-identified applications, the Examiners for the other applications will search for relevant prior art and, in some circumstances, take positions about the prior art and/or the interpretation of the claims that may be material to the examination of the present application.

Accordingly, Applicant respectfully requests that the Examiner review the file histories of the above-identified applications to determine whether there is any information that the Examiner believes may be relevant to the prosecution of the present application. It is assumed that the Examiner has access to the file histories of the above-identified applications, and Applicant does not plan to provide copies of items from these files. However, if the Examiner would like copies of any or all of the information included in the file histories of the above-identified applications, or any other information related to these applications, the Examiner is respectfully requested to contact the undersigned.

This Information Disclosure Statement is filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)). The fee under 37 C.F.R. 1.17(p) is enclosed.

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as

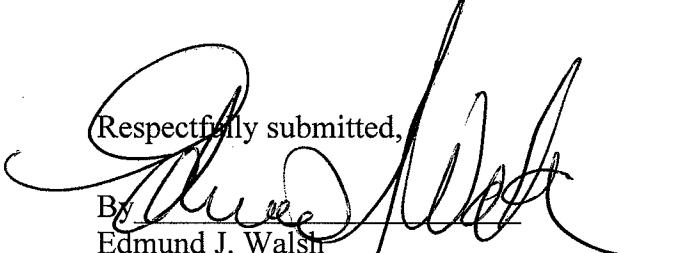
defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Please charge our Credit Card in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). Credit Card Payment Form SB-2038, with a signature from an authorized cardholder, is enclosed. The Director is hereby authorized to charge any deficiency or credit any overpayment in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 23/2825, under Docket No. M1103.70715US00.

Dated: *March 31,
2010*

Respectfully submitted,

By 

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